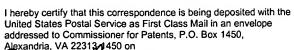


## CERTIFICATE OF MAILING



All All

February 20, 2004

Date

Serial No:

10/681,431

Filed:

October 8, 2003

Applicant:

Moore

Title:

N-Desmethyl Levomepromazine

Art Unit:

1714

Conf. No.:

7143 Unknown

Examiner: Atty Dkt:

XANO-33

Cincinnati, Ohio 45202

February 20, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of candor and good faith which is imposed by Rule 56 (37 C.F.R. §1.56), the art listed on the attached form PTO-1449 is called to the attention of the U.S. Patent and Trademark Office in connection with the above identified patent application. Copies of the cited references are provided. No representation is made that the cited art is the only art or that the cited art represents the best art.

The Examiner is urged to consider the cited references and to make an independent decision with respect to their materiality.

Applicant does not believe there is any fee due with this submission.

Should any fees or surcharges be deemed necessary, Examiner has authorization to charge fees or credit any overpayment to Deposit Account No. 23-3000. The Examiner is invited to telephone the undersigned attorney if there are any questions or issues.

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

Beeeely A Lyman

Beverly A. Lyman

Reg. No. 41,961

2700 Carew Tower Cincinnati OH 45202 (513) 241-2324 (513) 421-7269 facsimile

SUBSTITUTE (MODIFIED)	19			U.S. DEPARTMI PATENT AND T	ENT OF COMMERCE	ATTY. DOCKET NO.   SERIAL NO.   10/681,431							
						CLOSURE PLICANT	FB 24 m 5	APPLICANT Keith Moore					
		(Us	e sev	eral st	eets if	necessary)	FEB 2 4 2004 (C)		G DATE		GROUP		
(37 CFR 1.98(	b))					110	ATEUT: DO MENTS		er 8, 2003		1714		
<del></del>	1	1					ALENTINGEMENTS		T	<del></del>		511105175	
EXAMINER INITIAL		PA	TENT	NUM	BER	ISSUE DATE	PATENTEE		. CLASS		LASS	FILING DATE IF APPROPRIATE	
	A.A	$oxed{oxed}$	$\sqcup$	_									
	A.B			$\bot$									
	A.C		Ш							ļ <u>.</u>			
	A.D					:							
	A.E												
	A.F												
	A.G												
	A.H		П										
	A.I										•		
	A.J		$\prod$										
	A.K			┪									
				FORE	IGN P	ATENTS OR PU	BLISHED FOREIGN PA	ATENT A	PPLICATION	NS			
			OCUM NUMB			PUBLICATION DATE	COUNTRY OF	CLASS SUBC		ASS	TRANSLATION (YES/NO)		
	A.L	06121	698		- (	06-05-94	Japan		C12Q	1/68		Abstract only	
	A.M												
	A.N												
	A.O												
	A.P												
	A.Q		,							•			
				ОТН	ER DO	CUMENTS (Incl	uding Author, Title, Date	e, Place	of Publication	n)			
	A.R	Dahl, Thera	Svein peutic	G., <u>Pl</u> s, 197	narmac 6; 19:4	cokinetics of met	notrimeprazine after sin	gle and i	multiple dose	s, Clinical	Pharm	acology and	
	A.S												
	A.T Dahl, Svein G, et al., <u>Plasma and Erythrocyte Levels of Methotrimeprazine and Two of Its Nonpolar Metabolite Psychiatric Patients</u> , Therapeutic Drug Monitoring, 1982; 4:81-87											tabolites in	
EXAMINER							DATE CONS	SIDERE	)				
EXAMINER: I	nitial if c	tation o	onside	ered, v	vhethe	r or not in confor	mance. Draw line throu	gh citation	on only if not	in conform	nance <u>a</u>	and not considered.	

Sheet 2 (B) of 3

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF MODIFIED) PATENT AND TRADE											ATTY. XANO/	DOCKET NO	D.	SERIAL NO. 10/681,431		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT											APPLICANT Keith Moore					
(37 CFR 1.98(	(	(Use	e sev	eral s	heet	s if ne	ecessary)				DATE er 8, 2003		GROUP 1714			
						U.S.	DOCUMENTS									
EXAMINER INITIAL			PA <sup>-</sup>	TENT	. NU!	MBEF	₹	ISSUE DATE		PATENTEE	CLASS		SUBC	LASS	FILING DATE IF APPROPRIATE	
	B.A															
	B.B															
	B.C															
	B.D										1-1-					
	B.E															
	B.F															
	B.G															
	В.Н															
	B.I															
	B.J	Π		П												
	B.K														]	
					FOR	EIGN	PAT	ENTS OR PL	JBLISHED	FOREIGN PA	ATENT A	PPLICATION	IS			
		DOCUMENT NUMBER					PUBLICATION DATE			COUNTRY OF		CLASS	SUBCL	ASS	TRANSLATION (YES/NO)	
	B.L															
	B.M															
	B.N															
	B.O															
	B.P															
	B.Q									·			•			
					ОТІ	IER	DOC	UMENTS (Inc	luding Au	thor, Title, Date	e, Place o	of Publication	)			
	B.R Gennaro, Alfonso R, Ed., <u>Chapter 45 Oral Solid Dosage Forms</u> , Remington: The Science and Practice of Pharmacy, 26 Edition, 2000, Mack Publishing Co., PA, pp.878-893												of Pharmacy, 20th			
	B.S	Gibson, Ed., Pharmaceutical Preformulation and Formulation, IHS Health Group, Englewood CO, 2001 pp. 331-354, 379-458											pp. 331-354, 379-			
	в.т	Hals, PA et al., Metabolism of levomepromazine in man, European Journal of Drug Metabolism and Pharmacokinetics, 1995; 20:61-71											rmacokinetics,			
EXAMINER	<u>.</u>										DATE CONSIDERED					
EXAMINER: I	nitial if ci	tatio	n co with	nside nex	ered,	whet	her o	r not in confo n to applicant	rmance. [	Draw line throu	gh citatio	n only if not i	n conforn	nance <u>ar</u>	nd not considered.	

Sheet 3 (C) of 3

								COMMERCE ATTY. DOCKET NO. XANO/33				SERIAL NO. 10/681,431			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT										APPLICANT Keith Moore					
(Use several sheets if necessary) (37 CFR 1.98(b))									FILING DATE October 8, 2003				GROUP 1714		
	-						U.S. F	PATENT DO	CUMENTS						
EXAMINER INITIAL		P	ATE	 1 TN	IUMBI	ĒR.	ISSUE DATE	F	PATENTEE		CLASS	SUBC	LASS	FILING DATE IF APPROPRIATE	
	C.A														
	C.B		Τ	Τ					_						
	c.c				П										
	C.D	П		Τ									-		
	C.E	П	T	T	П										
	C.F			$\top$											
	C.G				П				- <u>-</u> -						
	C.H			T											
<u> </u>	C.I		T	$\top$							1				
	C.J		T	T	П				···-						
	C.K		1	T	П										
	•			FC	DREIG	N PAT	ENTS OR PU	BLISHED F	OREIGN PA	TENT A	PPLICATION	18	•		
		DOCUMENT NUMBER				P	PUBLICATION DATE		COUNTRY OR PATENT OFFICE		CLASS SUBC		_ASS	TRANSLATION (YES/NO)	
	C.L														
	C.M														
	C.N			_											
	C.O														
	C.P						100								
	C.Q														
					THEF	RDOC	UMENTS (Incl	uding Author	or, Title, Date	e, Place	of Publication	1)			
	C.R	(meth	otrir	meor	azine)	uid chi with b	romatographic eta-cyclodextri	resolution of in-bonded of	of the enantion	omers of ary phase	psychotropice, Journal of I	drug leve	omepron eutical &	nazine Biomedical	
	C.S							<del></del>							
	C.T		-					14	· <u>-</u>						
EXAMINER									DATE CONS	ONSIDERED					
EXAMINER: I	nitial if cit	ation	cons	idere	ed, wh	ether o	or not in conform	mance. Dra	aw line throu	gh citation	on only if not i	n conform	nance <u>a</u>	nd not considered.	